

PETER REGENT

INTERNATIONAL FINANCIAL & INSTITUTIONAL ADVISER

Switzerland |

EXECUTIVE PROFILE

Senior international financial and institutional adviser with 20+ years of experience across Switzerland, the EU/EEA and international markets. Combines independent asset & wealth management experience under Switzerland's pre-FinSA/FinIA framework with regulated financial advisory, corporate finance, private banking, board-level governance and cross-border regulatory expertise. Founder/director, former CFO, board member and private banker advising entrepreneurs, private companies and financial institutions. Brings multi-jurisdictional experience spanning 29 European jurisdictions, with a strong focus on access to finance, investment readiness, financial intermediaries, corporate governance, sustainable finance and emerging digital financial infrastructure.

CORE ADVISORY EXPERTISE

SME & Mid-Cap Finance / Access to Finance: Financial diagnostics, financing readiness, credit intermediation, investment planning and risk assessment.

Financial Intermediaries & Institutional Advisory: Banks and financial institutions, regulated advisory models, cross-border financial services and capacity-oriented support.

Investment Preparation & Bankability: Strategic investment planning, forecasting, risk mitigation, management decision support and investment-readiness assessment.

Corporate Governance, Risk & Compliance: Board oversight, governance frameworks, KYC/AML, suitability, transparency and regulatory alignment.

Sustainable Finance & Green Transition: UNDP SDG/sustainable-finance training; private-capital alignment with sustainability and responsible-finance objectives.

Digital Finance & Transformation: FinTech, AI, DLT/tokenised assets and MiCA/CBDC training, with a governance-focused approach to digital financial infrastructure.

PROFESSIONAL EXPERIENCE

Founder & Director / Independent Asset & Wealth Manager | REGENT SWISS | 2016-Present | Switzerland

- Established and operated an independent Swiss asset and wealth management/advisory practice under the regulatory framework applicable before FinSA/FinIA, with SRO PolyReg affiliation from 2016; subsequently aligned activities with the current Swiss financial-services framework.
- Provide senior-level regulated financial and investment advisory under FinSA to entrepreneurs, UHNW clients and financial institutions in complex cross-border environments, within a non-custodial advisory model.
- Advise on capital governance, investment and portfolio strategy, risk profiling, cross-border structuring, suitability, transparency and regulatory alignment; contribute to international policy discussions, including the UN Forum on Business and Human Rights.

Founder & Director | Finanzdienstleistung Büro Regent Peter | 2012-2023 | Austria

- Held the regulated Gewerbliche Vermögensberatung authorisation (GISA 25562262), including tied securities intermediation and independent credit intermediation.
- Exercised notified cross-border credit-intermediation rights in 28 other EU/EEA states in addition to Austria - 29 jurisdictions in total - while managing financial assessments, investment strategy and compliance across borders.

Board Member | BPG Precious Metal Storage PLC | 2016-2020 | Vienna, Austria

- Served on the board/supervisory body of a Vienna MTF-traded company (BPGR), contributing to corporate governance, strategic oversight, financial risk management and regulatory compliance.

Chief Financial Officer | PETROLGAS Limited | 2005-2007 | London, United Kingdom

- Led financial planning, forecasting and risk analysis for an international commodities trading company, supporting management decision-making and risk mitigation.

Private Banker | Partner Private Bank - Austria | 2004-2011 | Vienna, Austria

- Advised high-net-worth clients and financial institutions on financial planning, investment strategy and tailored investment plans.

Co-Founder | RENTA - Credit Broker | 2003-2010 | Italy

- Co-founded a regulated credit brokerage business; managed authorisation/compliance processes and institutional relationships, including Abbey National UK, Barclays and UniCredit.

Private Banker | Commerzbank Asset Management | 2000-2004 | Italy

- Advised HNW clients and financial institutions on portfolio strategy, investments and financial planning within an international banking environment.

Earlier Financial Services Experience | 1996-1999 | Italy & United States

- BANK CRUP - Intesa Group: retail banking, investments, credit and regulatory documentation. Compmark I Corporation: financial-services software, process optimisation and systems implementation.

REGULATORY & PROFESSIONAL CREDENTIALS

- Registered Financial Adviser under FinSA (RegFix), since 2022.
- Swiss regulated Credit Broker (Kreditvermittler) authorisation - KIGA Graubünden, issued 2021.
- Gewerbliche Vermögensberater - Austria, GISA 25562262; independent credit intermediation and cross-border notifications across 28 other EU/EEA states (29 jurisdictions including Austria) / EBA (European Banking Authority)
- Limited Securities Licence - Iceland, Ministry of Economy and Finance, 2025-2028 (Regulation No. 1125/2021, Art. 5(2)-(3)).
- OCF/CONSOB authorised Financial Advisor - Italy (2000); OAM Financial Agent and Credit Broker - Italy (A4104, admitted 2013).
- Financial Intermediary affiliated with SRO PolyReg under the Swiss AML/SRO framework, 2016-2026.

SELECTED DEVELOPMENT, SUSTAINABLE & DIGITAL FINANCE TRAINING

- United Nations Development Programme (UNDP): SDG Finance Academy / Sustainable Finance; Introduction to Sustainable Finance; Unlocking Private Capital and Aligning Business Operations for the SDGs.
- New York Institute of Finance (NYIF): Stock Trading Professional Certification - Verified Certificate (2026).
- Harvard CS50: Introduction to Artificial Intelligence with Python (2026).
- MiCA & CBDC Certificate (2026); European Digital Europe initiative - FinTech with applications in food supply chains.

INTERNATIONAL PROFILE & LANGUAGES

- 20+ years across regulated financial environments in Switzerland and Europe.
- Board-level, entrepreneurial and institutional operating experience.
- Multi-jurisdictional experience in high-complexity, regulated and confidential advisory contexts.

LANGUAGES

Italian - Native | Slovenian - Native/Bilingual | English, German, Russian - Professional | Ukrainian, Spanish - Elementary